

Security in Question	Statement Paragraph # of Indictment	Statement	Reason for Dismissal (Keyed to Motion to Dismiss)		
			Duty to Disclose Sales (Omissions)	Materiality (Affirmative Statements or Omissions)	Statement Made By
SXTC	26	SXTC- Added 100k here extremely oversold RSI on this one recently granted NASDAQ extension to get price over \$1 and insiders own 51 % of this. Great set up for a big runner. A break of .30 takes us to .40 then .52 then .61. Love the setup here	No	Reliance; Context	Deel
		SXTC- Added 100k here extremely oversold RSI on this one recently granted NASDAQ extension to get price over \$1 and insiders own 51 % of this. Great set up for a big runner. A break of .30 takes us to .40 then .52 then .61. Love the setup here	No	Opinion/Puffery	Deel
		SXTC- Added 100k here extremely oversold RSI on this one recently granted NASDAQ extension to get price over \$1 and insiders own 51 % of this. Great set up for a big runner. A break of .30 takes us to .40 then .52 then .61. Love the setup here	No	Reliance; Context	Deel
		SXTC- Added 100k here extremely oversold RSI on this one recently granted NASDAQ extension to get price over \$1 and insiders own 51 % of this. Great set up for a big runner. A break of .30 takes us to .40 then .52 then .61. Love the setup here	No	Reliance; Context	Deel
		SXTC- Added 100k here extremely oversold RSI on this one recently granted NASDAQ extension to get price over \$1 and insiders own 51 % of this. Great set up for a big runner. A break of .30 takes us to .40 then .52 then .61. Love the setup here	No	Opinion/Puffery	Deel
SXTC	28	Added sxte too ... very bottomed penny	No	Reliance; Context	Rybarczyk
		[a]dding SXTC at these levels with you	No	Reliance; Context	Deel
SXTC	30	\$SXTC we holding above .30 now we were very early here and this honestly should run for days to come from these levels	N/A	Reliance; Context	Deel
		\$SXTC we holding above .30 now we were very early here and this honestly should run for days to come from these levels	N/A	Reliance; Context	Deel
		\$SXTC we holding above .30 now we were very early here and this honestly should run for days to come from these levels	N/A	Opinion/Puffery	Deel
TRCH	35	Added TRCH	No	Reliance; Context	Deel
GTT	45	\$GTT 24RSI +++ major multi billion \$\$\$ catalyst	No	Opinion/Puffery	Rybarczyk
GTT	47	\$GTT 110M market cap with 2B+ deal in place	No	Reliance; Context	Rybarczyk
		\$GTT 110M market cap with 2B+ deal in place	No	Reliance; Context	Rybarczyk
GTT	52	\$GTT Short Squeeze to \$3	N/A	Reliance; Context	Rybarczyk
		\$GTT won't end pretty on the short side. Book value \$3.44,	No	Opinion/Puffery	Rybarczyk
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		\$GTT Insane weekly; One of the most beat up technology names out there. Just playing the bounce here.	No	Opinion/Puffery	Rybarczyk
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SURF	59	swinging this \$SURF with [HENNESSEY] he just dropped insane DD [or due diligence] on his twitter.	No	Reliance; Context	Cooperman
		swinging this \$SURF with [HENNESSEY] he just dropped insane DD [or due diligence] on his twitter.	No	Reliance; Context	Cooperman
		Added to my \$SURF position right before close. Still scaling into my swing. . . . I'll swing by for \$12 or june 4. whatever happens first . . . I have more money to add if it continues to go down... THIS IS MY LAST TWEET ABOUT IT. You know my plan !	N/A	Reliance; Context	Cooperman
		Added to my \$SURF position right before close. Still scaling into my swing. . . . I'll swing by for \$12 or june 4. whatever happens first . . . I have more money to add if it continues to go down... THIS IS MY LAST TWEET ABOUT IT. You know my plan !	N/A	Reliance; Context	Cooperman
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SURF	60	[a]dding some SURF with you guys below 9.20 here. Nice find with this daily chart breaking outta downtrend.	No	Reliance; Context	Deel
		[a]dding some SURF with you guys below 9.20 here. Nice find with this daily chart breaking outta downtrend.	No	Reliance; Context	Deel
		[a]dding some SURF with you guys below 9.20 here. Nice find with this daily chart breaking outta downtrend.	No	Opinion/Puffery	Deel
ALZN	66	\$ALZN is now my largest swing position open. We broke 12 earlier, but that was a tease on what this will do. I added these dips through lunch and now I will ride this for new highs and more. I like the potential here and the market is hot for it.	No	Opinion/Puffery	Deel
		\$ALZN is now my largest swing position open. We broke 12 earlier, but that was a tease on what this will do. I added these dips through lunch and now I will ride this for new highs and more. I like the potential here and the market is hot for it.	No	Reliance; Context	Deel
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ALZN	68	still holding and added to ALZN	N/A	Reliance; Context	Cooperman
ALZN	69	will ride [ALZN] for new highs and more	N/A	Reliance; Context	Deel
ALZN	70	\$ALZN the market is expected to exceed 10 BILLION by 2025. This is a great swing potential and we will see new high of day before close	No	Reliance; Context	Deel
		\$ALZN the market is expected to exceed 10 BILLION by 2025. This is a great swing potential and we will see new high of day before close	No	Opinion/Puffery	Deel
		\$ALZN the market is expected to exceed 10 BILLION by 2025. This is a great swing potential and we will see new high of day before close.	No	Opinion/Puffery	Deel
		\$ALZN 12 broke for a sec and pulled back for now. I am still swinging this one so not really worrying about 12 reject there yet.	No	Reliance; Context	Deel
		\$ALZN 12 broke for a sec and pulled back for now. I am still swinging this one so not really worrying about 12 reject there yet.	No	Reliance; Context	Deel
		\$ALZN 12 broke for a sec and pulled back for now. I am still swinging this one so not really worrying about 12 reject there yet.	N/A	Reliance; Context	Deel
		\$ALZN 12 broke for a sec and pulled back for now. I am still swinging this one so not really worrying about 12 reject there yet.	N/A	Opinion/Puffery	Deel
		UPC I just went back and looked at this chart. Back in March the price went from 5.16 to 11.99. Volume is coming into this name this evening and in AH. I added here for an overnight hold. I am looking for a gap up and for this to be tomorrow's runner. Added 100k shares.	No	Reliance; Context	Deel

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UPC	74	UPC I just went back and looked at this chart. Back in March the price went from 5.16 to 11.99. Volume is coming into this name this evening and in AH. I added here for an overnight hold. I am looking for a gap up and for this to be tomorrow's runner. Added 100k shares.	No	Opinion/Puffery	Deel
		UPC I just went back and looked at this chart. Back in March the price went from 5.16 to 11.99. Volume is coming into this name this evening and in AH. I added here for an overnight hold. I am looking for a gap up and for this to be tomorrow's runner. Added 100k shares.	N/A	Reliance; Context	Deel
		UPC I just went back and looked at this chart. Back in March the price went from 5.16 to 11.99. Volume is coming into this name this evening and in AH. I added here for an overnight hold. I am looking for a gap up and for this to be tomorrow's runner. Added 100k shares.	No	Opinion/Puffery	Deel
		UPC I just went back and looked at this chart. Back in March the price went from 5.16 to 11.99. Volume is coming into this name this evening and in AH. I added here for an overnight hold. I am looking for a gap up and for this to be tomorrow's runner. Added 100k shares.	No	Reliance; Context	Deel
		I just added UPC for an overnight [hold] too.	N/A	Reliance; Context	Deel
		Added 50,000 shares of this \$UPC i think it can be a big over night rapper chart looks nice for a big over night move.	No	Reliance; Context	Cooperman
		Added 50,000 shares of this \$UPC i think it can be a big over night rapper chart looks nice for a big over night move.	No	Opinion/Puffery	Cooperman
UPC	75	because ultra [RYBARCZYK] was talking about it . . .	No	Reliance; Context	Cooperman
UPC	77	Riding full \$UPC for tomorrow. We are in at good prices from when I first posted, but I'm holding for morning. That's just MY plan because I think it runs hard. YOU do what you want. I'll holler.	N/A	Reliance; Context	Deel
		riding full \$UPC for tomorrow. We are in at good prices from when I first posted, but I'm holding for morning. That's just MY plan because I think it runs hard. YOU do what you want. I'll holler.	No	Opinion/Puffery	Deel
		riding full \$UPC for tomorrow. We are in at good prices from when I first posted, but I'm holding for morning. That's just MY plan because I think it runs hard. YOU do what you want. I'll holler.	N/A	Reliance; Context	Deel
		riding full \$UPC for tomorrow. We are in at good prices from when I first posted, but I'm holding for morning. That's just MY plan because I think it runs hard. YOU do what you want. I'll holler.	N/A	Opinion/Puffery	Deel
UPC	78	holding 50K \$UPC over night . you guys are all green on it manage your trade from here. you know MY plan.	N/A	Reliance; Context	Cooperman
		holding 50K \$UPC over night . you guys are all green on it manage your trade from here. you know MY plan.	N/A	Reliance; Context	Cooperman
		ok! Haha crazy how the tape doesn't show a 50k dump . . . ANYWHERE haha	N/A	Reliance; Context	Cooperman
UPC	79	\$UPC no BS here. Full position intact, I don't dump. I think we get a halt up at open . . .	No	Reliance; Context	Rybarczyk

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UPC	80	UPC still holding the over night lets goooooo.	N/A	Opinion/Puffery	Cooperman
		\$UPC popping off at open. This is why I didn't sell in the PM lets halt up.	No	Reliance; Context	Cooperman
		\$UPC popping off at open. This is why I didn't sell in the PM lets halt up.	No	Reliance; Context	Deel
ABVC	84	\$ABVC Soaked a ton between yesterday and a few fills when I got up. High conviction play \$6+ first target. Keep on your WL for coming days.	No	Reliance; Context	Rybarczyk
		\$ABVC Soaked a ton between yesterday and a few fills when I got up. High conviction play \$6+ first target. Keep on your WL for coming days.	No	Opinion/Puffery	Rybarczyk
		\$ABVC Soaked a ton between yesterday and a few fills when I got up. High conviction play \$6+ first target. Keep on your WL for coming days.	No	Opinion/Puffery	Rybarczyk
		\$ABVC LONG \$6+++.	N/A	Reliance; Context	Rybarczyk
ABVC	86	\$ABVC Still goes \$6+.	No	Opinion/Puffery	Rybarczyk
ABVC	87	\$ABVC Not anywhere near done. Full position intact. 90% of swings all explode.	No	Opinion/Puffery	Rybarczyk
		\$ABVC Not anywhere near done. Full position intact. 90% of swings all explode.	N/A	Reliance; Context	Rybarczyk
		\$ABVC Not anywhere near done. Full position intact. 90% of swings all explode.	No	Opinion/Puffery	Rybarczyk
ABVC	88	ABVC Near entry now. Holding 300k full.	No	Reliance; Context	Rybarczyk
		ABVC Near entry now. Holding 300k full.	N/A	Reliance; Context	Rybarczyk
ABVC	90	[b]ag holder	N/A	Could Not Have Influenced	Rybarczyk
		I hold my word and don't dump on anyone	N/A	Reliance; Context; Could Not Have Influence	Rybarczyk
ABVC	91	sorry all about \$ABVC[.] Taking time to make sure next is a big winner. I'll make sure it's available to discord and Twitter same time too.....	N/A	Could Not Have Influenced	Rybarczyk
		sorry all about \$ABVC[.] Taking time to make sure next is a big winner. I'll make sure it's available to discord and Twitter same time too.....	N/A	Could Not Have Influenced	Rybarczyk
		adding CEI here for a swing[.] last time it touched these levels on the daily we saw a move of 3+	N/A	Reliance; Context	Cooperman
		adding CEI here for a swing[.] last time it touched these levels on the daily we saw a move of 3+	No	Reliance; Context	Cooperman
		Lots of DD.	No	Reliance; Context	Cooperman
		holding and adding more	N/A	Reliance; Context	Deel
		holding full into tomorrow	N/A	Reliance; Context	Deel

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CEI	94	Don't panic on \$CEI and tag me every 20 minutes. It's a swing. I'm adding all day. I alerted this yesterday at .46 and we are holding nicely right under 50 currently. I think we get a close over .50 by end of day and start the climb higher. There was hint of a PR tomorrow too.	No	Reliance; Context	Deel
		Don't panic on \$CEI and tag me every 20 minutes. It's a swing. I'm adding all day. I alerted this yesterday at .46 and we are holding nicely right under 50 currently. I think we get a close over .50 by end of day and start the climb higher. There was hint of a PR tomorrow too.	No	Opinion/Puffery	Deel
		Don't panic on \$CEI and tag me every 20 minutes. It's a swing. I'm adding all day. I alerted this yesterday at .46 and we are holding nicely right under 50 currently. I think we get a close over .50 by end of day and start the climb higher. There was hint of a PR tomorrow too.	No	Reliance; Context	Deel
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CEI	95	On swings like CEI ETC . You guys think that me not saying anything means I'm not in. It doesn't. I just don't see the need to keep tweeting or pushing swings. Let them do their thing. When the move happens and we bank I'll let you know.	No	Opinion/Puffery	Cooperman
		On swings like CEI ETC . You guys think that me not saying anything means I'm not in. It doesn't. I just don't see the need to keep tweeting or pushing swings. Let them do their thing. When the move happens and we bank I'll let you know.	N/A	Reliance; Context	Cooperman
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CEI	97	\$CEI That's a triple now @LadeBackk @MrZackMorris loving the action. Thanks for mentioning this idea. On the way to the \$2 dolla bill next.	No	Opinion/Puffery	Rybarczyk
		\$CEI I'm still full on this too. I wouldn't be tweeting about it every other day if I wasn't.	N/A	Reliance; Context	Rybarczyk
		\$CEI I'm still full on this too. I wouldn't be tweeting about it every other day if I wasn't.	N/A	Opinion/Puffery	Rybarczyk
CEI	98	Looking directly into @MrZackMorris eyes as he whispers "CEI is going to 10 tome. . . .	N/A	Opinion/Puffery	Cooperman
DATS	105	adding dips on DATS , holding this support nice. 9 break sends us to 9.50 - 10.	No	Reliance; Context	Cooperman
		adding dips on DATS, holding this support nice. 9 break sends us to 9.50 - 10.	No	Opinion/Puffery	Cooperman
DATS	106	adding dips on DATS, holding this support nice. 9 break sends us to 9.50 - 10.	No	Opinion/Puffery	Cooperman